

ANTI-MONEY LAUNDERING AND ANTI-TERRORISM FINANCING: RISKS AND COMPLIANCE

IPOH 12 JUNE 2020

INTRODUCTION

Accountants and staff of reporting institutions such as banks, insurance companies, stock broking houses etc. are required under the Anti Money Laundering, Anti Terrorism Financing & Proceeds of Unlawful Activities Act 2001 (AMLATFAPUAA) to implement compliance measures and report suspicious transactions. It is therefore vital for such personnel to be aware of risks and be updated on the compliance requirements, current development in money laundering, terrorism financing techniques and measures to combat this transnational crime.

This workshop aims to keep you abreast with the latest regulatory issues of the related professional obligations.

LEARNING OUTCOMES

- Recognise how businesses and institutions can be used as a conduit for such money laundering and terrorism financing activities.
- Apply the compliance framework and risk based approach.
- Identify and report suspicious transactions.
- Build awareness on the consequence for noncompliance and tipping off.

WHO SHOULD ATTEND

Accountants in management positions, internal auditors, practitioners, forensic accountants, risk management accountants and compliance specialists, investment advisor, CMSRL, CF and treasury license holder, fund manager and those who are dealing in securities, advising on corporate finance, financial planning and futures contract.

PRESENTER

Mr William Leong Siew Sun

EARLY BIRD SPECIAL

Enjoy **10%** savings when you register online at least **one** month before the workshop date.

EVENT DETAILS

Friday 12 June 2020

TIME
9.00am – 5.00pm

VENUE
WEIL Hotel
292, Jalan Sultan Idris Shah
30000 Ipoh
Perak

FEE (inclusive of 6% Service Tax, lunch and tea-breaks)
Member: RM349.80
REP/Member staff: RM455.80
Non-member: RM583.00

10 SIDC CPE-approved:
CPE Points



LEVEL
COMPETENT

CLAIM UP TO
8 CPD HOURS



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KEY TOPICS COVERED

Money laundering and terrorism financing

- What is money laundering?
- What is terrorism? Who is a terrorist? What constitutes terrorism financing?

The money laundering process and techniques

- The money laundering process
- Current development and techniques launderers use

Risks of money laundering and terrorism financing

- Scope of activities/transactions for compliance
- Types of risks

Objectives of the AMLATFAPUAA Act 2001

- AML/CFT compliance framework
- BNM sectorial guidelines

Managing money laundering and terrorism financing risks

- Risk profiling approach
- The compliance officer's role
- Other employee's role

AML/CFT compliance measures

- Customer's identification policy
- Customer due diligence and enhanced due diligence
- Risk profiling in recognising suspicious transactions
 - High risk customers
 - High risk place of origin
 - High risk transactions
- Transactions monitoring measures
- Suspicious transaction reporting—elevation process

Consequences of non-compliance

- Penalty provisions
- Record keeping
- Tipping off

Protection for informers

- Status of secrecy provision

Case studies

SPEAKER'S PROFILE

MR WILLIAM LEONG SIEW SUN

William has more than 22 years' experience in the banking sector. He was the assistant credit manager of a large foreign based bank for several years. He has also been the manager of three large bank branches.

He is a chartered accountant and holds a professional qualification of the Institute of Chartered Secretaries and Administrators (ICSA) United Kingdom. He completed the 'Advance Bank Management' programme in Asian Institute of Management, Manila in 1995.

He is an enthusiastic speaker and conducts for corporations, banks and professional bodies, workshops and seminars in finance, management and business skills. He is particularly interested in the area of fraud and has conducted numerous workshop on business and operations fraud including 'Avoiding Cheque Risks and Fraud' and 'Anti Money Laundering and Anti Terrorism financing'. He conducts credit programmes in banks locally and also in Vietnam and Brunei.

REGISTRATION FORM

Save 10% on workshops when you register online at cpaaustralia.com.au/malaysiatraining. You can also return completed form to CPA Australia.

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1 PARTICIPANT DETAILS

PARTICIPANT 1		Attending as	<input type="checkbox"/> Member	<input type="checkbox"/> REP / Member staff	<input type="checkbox"/> Non-member
Full name as per I/C	(Dato' / Datin / Dr / Mr / Mrs / Ms)	CPA Australia Member ID			
Position		Email*			
Mobile		Special dietary requirements (if any)			
PARTICIPANT 2		Attending as	<input type="checkbox"/> Member	<input type="checkbox"/> REP / Member staff	<input type="checkbox"/> Non-member
Full name as per I/C	(Dato' / Datin / Dr / Mr / Mrs / Ms)	CPA Australia Member ID			
Position		Email*			
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PARTICIPANT 3		Attending as	<input type="checkbox"/> Member	<input type="checkbox"/> REP / Member staff	<input type="checkbox"/> Non-member
Full name as per I/C	(Dato' / Datin / Dr / Mr / Mrs / Ms)	CPA Australia Member ID			
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Member staff refers to staff from a CPA member's firm or organisation.

*Workshop confirmation will be sent to the stated email address.

2 CONTACT AND BILLING INFORMATION

Contact Person		Billing Address			
Phone					
Email					
Company Billing Name		State	Postcode		
Business Registration no.		Industry			

3 WORKSHOP AND PAYMENT DETAILS

NO.	WORKSHOP TITLE	DATE	LOCATION	PRICE	QTY	FEE (RM)
1						
2						
3						
TOTAL						RM

4 MAKE A PAYMENT

Do you want the electronic tax invoice/receipt to be under the company's name? Yes No

Payment method Credit card: Visit cpaaustralia.com.au/malaysiatraining to register and pay with your credit card with MYR.

Cheque: Make payable to 'CPA Australia (M) Sdn Bhd' Cheque number

Please indicate registrants full name, membership number and contact number on the back of the cheque.

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