

SHARPEN YOUR SKILLS ON LISTING REQUIREMENT COMPLIANCE

KUALA LUMPUR 5 AUGUST 2019

INTRODUCTION

Bursa Securities has released various amendments to the Listing Requirements, among which was arising from the implementation of the Companies Act 2016 and amendments relating to corporate governance requirements as well as enhance the disclosure requirements.

This one-day workshop offers a refresher for company secretaries and other professionals who need to engage and ensure compliance with the Listing Requirements for a wide range of public listed company transactions – from implementation of proposals to meeting continuing listing obligations, directors' dealings and acquisitions and disposals.

The workshop also examines the legal context within which those transactions are undertaken, with particular reference to the Listing Requirements, the revised corporate governance regime and other relevant law and regulation.

WHO SHOULD ATTEND

Audit committee members, Independent Directors, company secretaries, internal auditors, risk managers, CFO's, financial controllers, COO's, CEOs, Managing Directors, Chartered Accountants, advisors, auditors, consultants, professionals and staff involved in financial reporting for PLCs, CMSRL and ERPs.

LEARNING OUTCOMES

- Understand the general principles of the listing requirements and the criteria for continued listing and obligations.
- Review the general requirements for new issue of securities and implementation of proposals including restriction of allotment to directors, employee share option scheme.
- Examine the restriction on the dealing in securities by directors.
- Discuss the obligations of the listed company in the acquisition and disposal of assets and transactions with related parties.
- Examine the rights provided in the listing requirements to directors as well as the role expected of executive and independent directors.
- Suggestions on how to fulfil the governance obligations of listed companies.

PRESENTER

Ms Leong Oi Wah

All our workshops are available via in-house training.

EARLY BIRD SPECIAL

Enjoy **10%** savings when you register online at least **one** month before the workshop date.

EVENT DETAILS

Monday 5 August 2019

TIME
9.00am – 5.00pm

VENUE
CPA Australia Seminar Room
Suite 10.01, Level 10
The Gardens South Tower
Mid Valley City
Lingkaran Syed Putra
59200 Kuala Lumpur

FEE (inclusive of 6% Service Tax, lunch and tea-breaks)
Member: RM349.80
REP/Member staff: RM455.80
Non-member: RM583.00

10 SIDC CPE-approved:
CPE Points



Based on the merit of each applicant

LEVEL
COMPETENT

CLAIM UP TO
8 CPD HOURS



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KEY TOPICS COVERED

General principles of the listing requirements

- Criteria for continued listing and obligations
- Continuing disclosure policy

General requirements for new issue of securities

- Implementation of proposals
- Restriction of allotment to directors
- Employee share issuance scheme
- Dividend reinvestment scheme
- Share buy back
- Trading halt and suspension

Restriction on the dealing in securities by directors and principal officers

- General restrictions on dealings and possession of price sensitive information
- Procedures for dealings during closed period and outside closed period
- Exemptions from dealings in securities

Acquisition and disposal of assets

- Obligations on acquisition and disposal of assets
- Calculation of percentage ratio of transaction
- Valuation of assets
- Additional obligations for related party transactions
- Substantial transaction and significant change in business direction

Directors' duties and obligations

- Rights of directors
- Role expected of executive and independent directors
- Alternate directors restriction on appointment
- Enforcement actions on breaches by directors

Corporate governance

- Application of Malaysian Code on Corporate Governance
- Composition and functions of audit committee and nomination committee
- External auditors' appointment, resignation or removal
- Functions of internal audit

SPEAKER'S PROFILE

MS LEONG OI WAH

Oi Wah is the Director of Corporate Development of Epsilon Advisory Services Sdn Bhd. She is a Fellow Member of the Malaysian Institute of Chartered Secretaries and Administrators (MAICSA) and has more than 20 years' experience in handling corporate secretarial matters. She currently handles a portfolio of ten listed companies ranging from Main Board to MESDAQ Market. She has served on the task force set up by Bursa Securities on Corporate Disclosure Best Practices in developing a best practices handbook to assist and guide companies listed on Bursa Securities in meeting the continuous disclosure obligations under the Bursa Securities Listing Requirements and the securities laws.

She is also actively involved in the MAICSA training programmes and has been a course leader for workshop and seminars conducted by Research Institute of Investment Analysts Malaysia, Securities Industry Development Centre and Asian Centre for Corporate Training.

REGISTRATION FORM

Save 10% on workshops when you register online at cpaaustralia.com.au/malaysiatraining. You can also return completed form to CPA Australia.

✉ CPA Australia (M) Sdn Bhd (291307-P), Suite 10.01, Level 10, The Gardens South Tower,
Mid Valley City, Lingkaran Syed Putra, 59200 Kuala Lumpur

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1 PARTICIPANT DETAILS

PARTICIPANT 1	Attending as	<input type="checkbox"/> Member	<input type="checkbox"/> REP / Member staff	<input type="checkbox"/> Non-member
Full name as per I/C <small>(Dato' / Datin / Dr / Mr / Mrs / Ms)</small>	CPA Australia Member ID			
Position	Email*			
Mobile	Special dietary requirements (if any)			
PARTICIPANT 2	Attending as	<input type="checkbox"/> Member	<input type="checkbox"/> REP / Member staff	<input type="checkbox"/> Non-member
Full name as per I/C <small>(Dato' / Datin / Dr / Mr / Mrs / Ms)</small>	CPA Australia Member ID			
Position	Email*			
Mobile	Special dietary requirements (if any)			
PARTICIPANT 3	Attending as	<input type="checkbox"/> Member	<input type="checkbox"/> REP / Member staff	<input type="checkbox"/> Non-member
Full name as per I/C <small>(Dato' / Datin / Dr / Mr / Mrs / Ms)</small>	CPA Australia Member ID			
Position	Email*			
Mobile	Special dietary requirements (if any)			

REP refers to CPA Australia's Recognised Employer Partners. View our partners at cpaaustralia.com.au/rep

Member staff refers to staff from a CPA member's firm or organisation.

*Workshop confirmation will be sent to the stated email address.

2 CONTACT AND BILLING INFORMATION

Contact Person	Billing Address			
Phone				
Email				
Company Billing Name	State	Postcode		
Business Registration no.	Industry			

3 WORKSHOP AND PAYMENT DETAILS

NO.	WORKSHOP TITLE	DATE	LOCATION	PRICE	QTY	FEE (RM)
1						
2						
3						
TOTAL						RM

4 MAKE A PAYMENT

Do you want the electronic tax invoice/receipt to be under the company's name? Yes No

Payment method Credit card: Visit cpaaustralia.com.au/malaysiatraining to register and pay with your credit card with MYR.

Cheque: Make payable to 'CPA Australia (M) Sdn Bhd' Cheque number

Please indicate registrants full name, membership number and contact number on the back of the cheque.

For other methods of payment, call **+603 2267 3388**

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Signature / /